



08/07/01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **070659-004**First Inventor **Timothy R. Hill**

Method And System For Asynchronously Transferring Data

Title

Express Mail Label No. **EJ174808818US**A  
109/923558 proI  
08/07/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages **13**]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets **3** ]

5. Oath or Declaration [ Total Pages **2** ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 18 completed)
 

- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  Certificate of Mailing

17.  Other: **Request for Non-Publication**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information.

Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	(Enter Customer Number or Bar Code Label here)		<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below	
Name	<b>29391</b> PATENT & TRADEMARK OFFICE			
Address				
City	State	Zip Code		
Country	USA	Telephone	Fax	
Name (Print/Type)	<b>Enrique J. Mora</b>		Registration No. (Attorney/Agent)	<b>36,875</b>
Signature			Date	<b>Aug 7, 01</b>

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual user. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Applicant: Timothy R. Hill

Application No.: Not Assigned

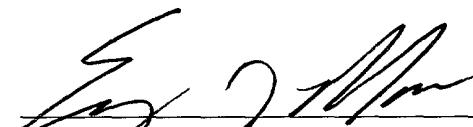
Filed: Simultaneously Herewith

For: Method And System For Asynchronously  
Transferring Data

Assistant Commissioner of Patents  
Washington, DC 20231

**REQUEST FOR NONPUBLICATION OF APPLICATION  
UNDER 35 U.S.C. § 122(b)  
37 C.F.R. § 1.213(a)**

1. This request is being submitted for the above-identified application.
- 2. It is requested that this application not be published under 35 U.S.C. 122(b).**
3. This is to certify that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

  
\_\_\_\_\_  
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**Certification Under 37 C.F.R. §§ 1.8(a) and 1.10**

(When using Express Mail, the Express Mail label number is **mandatory**; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

## **MAILING**

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37 C.F.R. § 1.8(a)

with sufficient postage as first class mail.

37 C.F.R. § 1.10

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## **TRANSMISSION**

facsimile transmitted to the Patent and Trademark Office, (703)       -

Tom J. Gizi  
Signature

Date: 8/7/2001

Evelyn J. Gish  
(type or print name of person certifying)

VIA EXPRESS MAIL EJ 174808818US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
                        )  
Applicants:         Timothy R. Hill         )  
                        )  
Application No.: Not Assigned                 )  
                        )  
Filed:              Simultaneously Herewith     )  
                        )  
For:                 Method And System For         )  
                       Asynchronously Transferring     )  
                       Data                             )  
----- )

Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

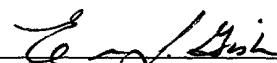
Sir:

**EXPRESS MAIL # EJ 174808818US**

**Date of Deposit: August 7, 2001**

I hereby certify that the attached patent application, formal papers and formal drawing were deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner of Patents, Box Patent Application, Washington, D.C. 20231.

Respectfully submitted,

  
\_\_\_\_\_  
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& Wolter, P. A.  
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